



**REQUEST FOR QUALIFICATIONS
for The kciCON Design-Build Project**

Clay County and Jackson County, Missouri

Project Number: J4I1507
RFQ Issued: January 16, 2007
SOQs Due: February 13, 2007

Missouri Department of Transportation
600 NE Colbern Road
Lee's Summit, MO 64086





Table of Contents

1	INTRODUCTION.....	1
1.2	<i>Project Goals.....</i>	3
1.3	<i>Fixed Price and Maximum Time Allowed</i>	3
1.4	<i>Stipend.....</i>	3
1.5	<i>Procurement Process.....</i>	3
1.6	<i>Procurement Objectives</i>	4
1.7	<i>Draft RFP and Industry Review</i>	5
1.8	<i>Final RFP and Initial Technical Proposal.....</i>	6
1.9	<i>Final Technical Proposal and Price Allocation.....</i>	6
2	RFQ PROCESS.....	7
2.1	<i>Submitter Information.....</i>	7
2.2	<i>Communications.....</i>	7
2.3	<i>Procurement Schedule.....</i>	7
2.4	<i>Addenda.....</i>	8
2.5	<i>Ineligible Firms.....</i>	8
2.6	<i>Confidentiality.....</i>	9
2.7	<i>Organizational Conflicts of Interest.....</i>	9
2.8	<i>Equal Employment Opportunity.....</i>	9
2.9	<i>Disadvantaged Business Enterprises.....</i>	9
2.10	<i>Major Participant.....</i>	10
2.11	<i>Key Personnel.....</i>	10
3	CONTENTS OF SOQ.....	11
3.1	<i>Organization of SOQ.....</i>	11
3.2	<i>Cover Letter.....</i>	11
3.3	<i>Part 1 – Project Delivery Within Budget.....</i>	11
3.4	<i>Part 2 – Landmark Bridge.....</i>	12
3.5	<i>Part 3 – Safety, Mobility, Aesthetics, Capacity.....</i>	13
3.6	<i>Part 4 – Public Involvement.....</i>	13
3.7	<i>Part 5 – Schedule.....</i>	13



3.8	<i>Part 6 – DBE Plan</i>	14
3.9	<i>Appendix A – Major Participant Information</i>	14
3.10	<i>Appendix B – Reference Projects</i>	14
3.11	<i>Appendix C – Resumes</i>	14
3.12	<i>Appendix D – Receipt of Addenda</i>	14
3.13	<i>Appendix E – Prequalification Contractor Questionnaire</i>	15
4	SUBMITTAL REQUIREMENTS	15
4.1	<i>Page Limits</i>	15
4.2	<i>Format</i>	15
4.3	<i>Due Date and Quantities</i>	16
5	EVALUATION PROCESS	16
5.1	<i>SOQ Evaluation</i>	16
5.2	<i>Short Listed Submitters</i>	16
5.3	<i>Debriefing Meetings</i>	16
6	PROTEST PROCEDURES	17
6.1	<i>RFQ Protests</i>	17
7	FORMS	19
7.1	<i>Form 1 – Major Participant Information</i>	19
7.2	<i>Form 2 – Reference Project Summary</i>	20
7.3	<i>Form 3 – Resume Summary</i>	22
7.4	<i>Form 4 - Receipt of Addenda</i>	23
7.5	<i>Form 5 – Prequalification Contractor Questionnaire</i>	24

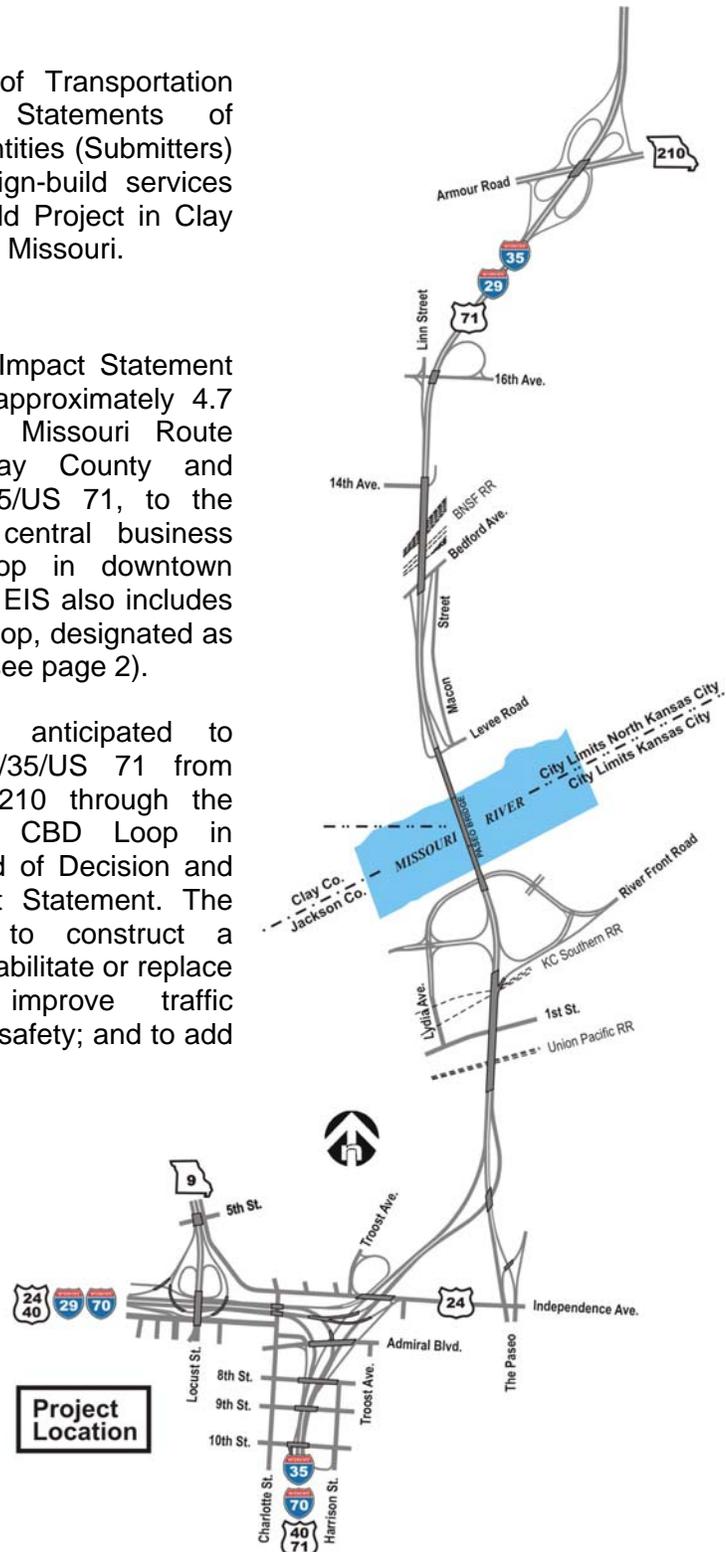
1 INTRODUCTION

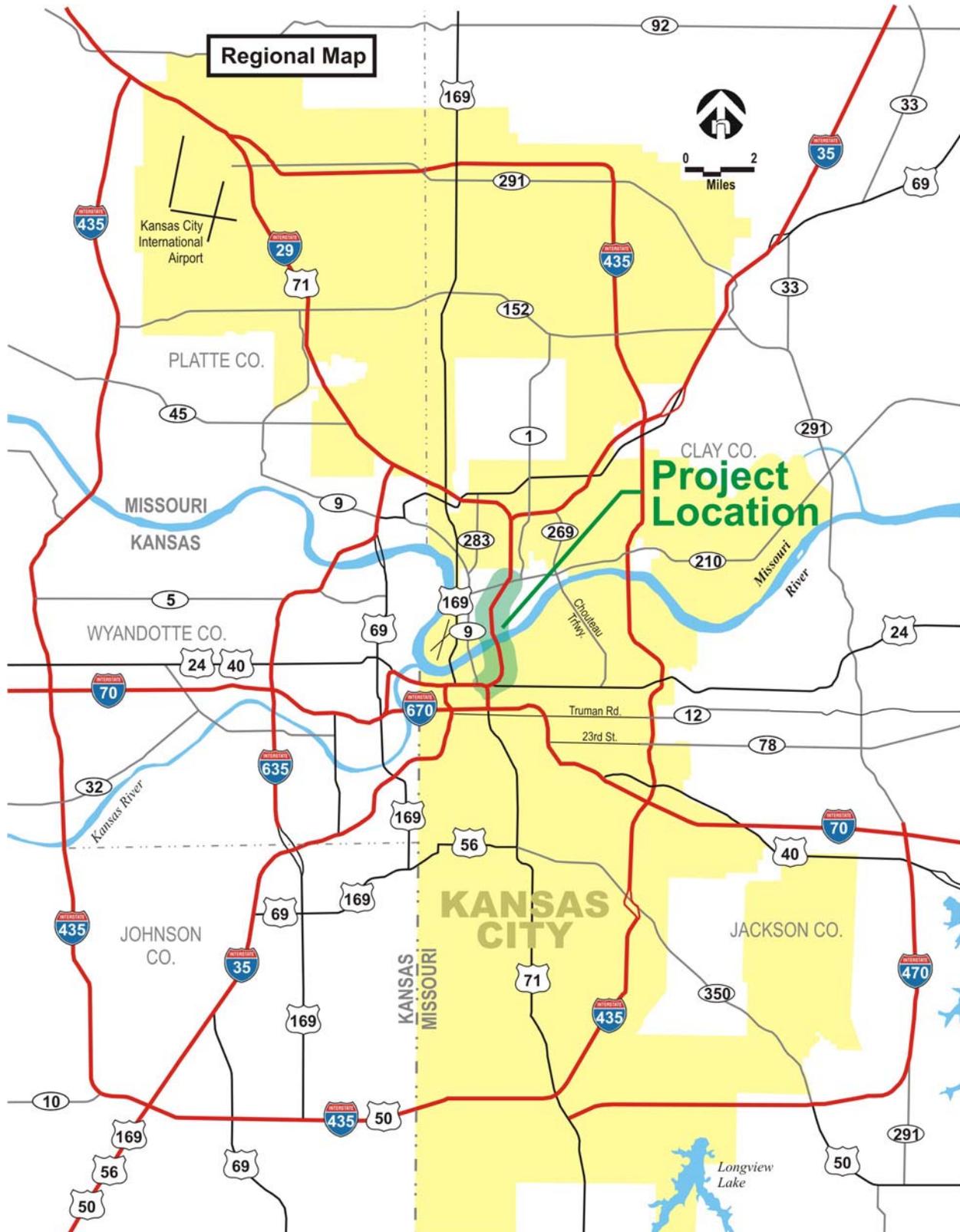
The Missouri Department of Transportation (MoDOT) is soliciting Statements of Qualifications (SOQ) from entities (Submitters) interested in providing design-build services for The kcICON Design-Build Project in Clay County and Jackson County, Missouri.

1.1 Project Description

The I-29/35 Environmental Impact Statement (EIS) study area extends approximately 4.7 miles, from just north of Missouri Route 210/Armour Road in Clay County and continues south on I-29/35/US 71, to the northwest corner of the central business district (CBD) freeway loop in downtown Kansas City, Missouri. The EIS also includes the north side of the CBD Loop, designated as both I-35/70 and US 24/40 (see page 2).

The kcICON project is anticipated to rehabilitate/reconstruct I-29/35/US 71 from north of Missouri Route 210 through the northeast corner of the CBD Loop in accordance with the Record of Decision and Final Environmental Impact Statement. The project includes actions to construct a landmark river bridge(s), rehabilitate or replace deteriorated pavement; improve traffic operations, geometrics, and safety; and to add mainline capacity







1.2 Project Goals

To demonstrate MoDOT's commitment to provide a quality construction and communication effort that continues a new model for design-build, the project goals are:

1. Deliver the I-29/I-35 Corridor improvements within the total program budget of \$245 million.
2. Construct a landmark Missouri River bridge(s) that can be reasonably maintained to provide more than a century of useful service.
3. Maximize safety, mobility, aesthetic and capacity improvements in the corridor.
4. Engage stakeholders and the community to successfully develop and deliver the project.
5. Meet or beat the project completion date of October 31, 2011.

1.3 Fixed Price and Maximum Time Allowed

The total budget available for the Project is \$245 million. The design-build contract will be a fixed price contract. The Contract Price, is anticipated to be \$205 million. The Project must be completed by October 31, 2011.

1.4 Stipend

MoDOT will pay a stipend to the responsible Proposer(s) that provide a fully responsive, but unsuccessful, Proposal in concurrence with state law. The amount of the stipend shall be \$1.0 million and shall be provided to such Proposer(s) within 15 days after MoDOT determines the apparent successful Proposer. If the apparent successful Proposer and MoDOT cannot successfully negotiate a contract and MoDOT negotiates an agreement with one of the unsuccessful Proposers, that Proposer shall return the stipend to MoDOT.

1.5 Procurement Process

MoDOT will use a two-phase procurement process to select a design-build contractor to deliver the kcICON project. This Request for Qualifications (RFQ) is issued as part of the first phase to solicit information, in the form of SOQs, that MoDOT will evaluate to determine which Submitters are the most highly qualified to successfully deliver the Project. MoDOT will short list at least two (if any) but not more than five most highly qualified Submitters that submit SOQs.

The Request for Proposals (RFP) is issued as the second phase of the procurement process. Each short listed Submitter that submits a Proposal in response to the RFP is referred to herein as a Proposer. MoDOT will award a Design-Build Contract (if any) for the Project to the Proposer offering the best value, to be determined as described in this RFP. Proposals will only be considered from those proposers (Proposers) that were notified in writing by MoDOT that they were short listed under MoDOT's Request for Qualifications issued on January 16, 2007.



The RFP includes the following documents (RFP Documents):

- Instructions to Proposers (ITP)
- Book 1 – Design-Build Contract
- Book 2 – Performance Requirements
- Book 3 – Applicable Standards
- Book 4 – Contract Drawings, Data, and Reports
- Book 5 – Informational Documents

The Contract Documents include Books 1 through 4. The Proposal will also be a Contract Document to the extent set forth in the RFP.

1.6 Procurement Objectives

MoDOT is committed to partnering with the highway design and construction industry to deliver the kcICON project successfully by developing a design-build procurement process that allows Proposers the maximum flexibility to achieve or exceed the Project goals. MoDOT is committed to continuing a new model for design-build delivery. The successful Proposer for the kcICON project will fully understand the Project goals and the design-build procurement process to deliver a Proposal that provides to MoDOT and the citizens of Missouri outstanding transportation solutions within the available budget. The procurement process will include a very flexible RFP and will rely upon the Proposers and a multi-phased discussion process to develop the majority of technical requirements (Additional Applicable Standards) and innovative solutions including the landmark Missouri River Bridge(s), Project definition (including maintenance of traffic), public information, schedule, and approach to Disadvantage Business Enterprise and Workforce Utilization. The general phases of the procurement process are:

- Industry Review – MoDOT intends to discuss with the Proposers the big picture items including the procurement process, schedule for the procurement process, process to define Additional Applicable Standards and the Proposal evaluation process. MoDOT encourages the Proposers to propose/discuss as many Additional Applicable Standards and their details as practicable and provide comments on Book 1 Design-Build Contract and whether or not the Book 2 Performance Specifications provide the Proposers with adequate detail.
- Initial Technical Proposal Discussions – MoDOT will hold a series of one-on-one confidential meetings with each Proposer where the Proposer may present Initial Technical Proposal concepts, Additional Applicable Standards and design exceptions, if any, to MoDOT and FHWA. The parties will conduct discussions regarding whether the Proposer's Initial Technical Proposal is consistent with the desires of MoDOT and the Additional Applicable Standards and design exceptions, if any, are acceptable. MoDOT intends for the Technical Proposals to achieve or exceed the Project goals to the greatest extent possible. The discussions associated with the Initial



Technical Proposal will constitute the submission of an Initial Technical Proposal.

- Final Technical Proposal/Price Allocation Discussions – MoDOT intends to negotiate with the apparent successful Proposer final contractual details including the use of the unsuccessful Proposers' solutions, if any, clean-up of any contractual issues and ensuring the enforceability of the Additional Applicable Standards.

MoDOT encourages confidential discussions with the Proposers throughout the procurement process.

1.7 Draft RFP and Industry Review

MoDOT will issue a Draft RFP to the Proposers for their review and shortly after will initiate confidential "one-on-one" meetings with the Proposers. The purpose of the industry review discussions is to improve the Proposers' understanding of the Project goals and RFP and to improve the RFP based upon industry questions and comments. It is MoDOT's desire that its commitment to confidential discussions will create an atmosphere that encourages open discussions between the Proposers and MoDOT. MoDOT's small core Project team plans on attending the industry review meetings, along with technical experts attending for specific topical discussions. MoDOT intends to request the Proposers to establish the agenda for the industry review meetings. However, for the initial meeting, MoDOT will provide the agenda and review ground rules for the discussions. Items MoDOT desires to discuss during industry review are:

- a) Does the procurement process, as described in the ITP, maximize the opportunities to meet or exceed the Project goals? Do the Proposers have suggestions on how the process could be improved to increase the likelihood of exceeding the Project goals?
- b) Does the schedule for the procurement process provide the appropriate amount of time to maximize the opportunities to meet or exceed the Project goals? Do the Proposers have suggestions on how the procurement schedule could be modified to increase the likelihood of exceeding the Project goals?
- c) The procurement process provides the Proposers with the opportunity to define all Additional Applicable Standards for the Project as long as they comply with the national standards identified in Book 3, Applicable Standards, of the RFP. MoDOT encourages the Proposers to identify and present their proposed technical requirements that increase the opportunities to meet or exceed the Project goals during the discussions including design requirements, construction specifications, special provisions, standard drawings, materials and testing requirements, and maintenance requirements as described in the RFP. Discussions with Proposers will assist MoDOT's understanding of the proposed technical requirements and allow MoDOT to provide feedback to the Proposers on the acceptability of the proposed requirements. **The procurement process provides the opportunity of having multiple Proposals that have different technical requirements**



(Additional Applicable Standards). MoDOT's desire is to encourage new ways of doing business to increase the opportunities to meet or exceed the Project goals.

- d) Do the requirements in Book 2, Performance Requirements, provide the necessary level of definition to support the Project goals or is it beneficial for MoDOT to provide additional detail on what is required? While MoDOT's goal is to encourage flexibility for the Proposers, MoDOT desires to obtain feedback from the Proposers on which performance requirements, if any, the Proposers prefer MoDOT to provide additional definition.

1.8 Final RFP and Initial Technical Proposal

MoDOT will issue a Final RFP as modified by the questions and comments received during industry review. MoDOT will then hold a series of confidential meetings with each Proposer where the Proposer will verbally present their Initial Technical Proposal, Additional Applicable Standards and design exceptions, if any, to MoDOT and FHWA. MoDOT is requesting an Initial Technical Proposal during confidential meetings in order to receive, from each Proposer, the highest quality Final Proposal possible. **During the discussions, absolutely no information will be shared from one Proposer's Proposal to the other Proposers including technical solutions, Additional Applicable Standards or design exceptions, if any.** The purpose of the confidential meetings will be to:

- a) Provide verbal feedback to the Proposer on whether the Proposer's Initial Technical Proposal achieve or exceed the Project goals to the greatest extent possible and to discuss possible improvements that can be made by the Proposer including Project definition changes, moving focus from one technical area to another and changes within a technical area.
- b) Provide verbal or written feedback to the Proposer regarding Additional Applicable Standards and design exceptions, if any. MoDOT's feedback will indicate if the AAS or design exception is acceptable, acceptable with conditions, or unacceptable.

1.9 Final Technical Proposal and Price Allocation

MoDOT will request the Proposers to submit their Final Technical Proposal and Price Allocation. MoDOT will evaluate the Proposals and determine the apparent successful Proposer. MoDOT has received a waiver from FHWA of the prohibition of negotiating ideas from the unsuccessful Proposers with the apparent successful Proposer prior to execution of the Contract. MoDOT intends to meet with the apparent successful Proposer and to negotiate into its Proposal, ideas from the unsuccessful Proposers' Proposal that improve its Proposal, if any, and to clean-up any contractual issues and ensure the enforceability of the Additional Applicable Standards.



2 RFQ PROCESS

2.1 Submitter Information

If an entity intends to submit a Proposal as part of a team, the entire team is required to submit a single SOQ as a single Submitter. No stipends will be paid for submitting SOQs.

2.2 Communications

MoDOT's Project Director, Brian Kidwell, is MoDOT's sole contact person for receiving all communications regarding the project. Each submitter is solely responsible for providing a single contact person.

Inquiries and comments regarding the Project and the procurement must be sent to Mr. Kidwell as shown below. E-mail is the preferred method of communication for the Project.

Brian Kidwell, P.E.
The kcICON Project Director
Missouri Department of Transportation
600 NE Colbern Road
Lee's Summit, MO 64086
kcICON.Project.Director@modot.mo.gov

During the Project procurement process, commencing with issuance of this RFQ and continuing until award of a contract for the Project (or cancellation of the procurement), no employee, member, or agent of any Submitter shall have ex parte communications regarding this procurement with any member of MoDOT, the Community Advisory Group or the Federal Highway Administration, their advisors, or any of their contractors or consultants involved with the procurement, except for communications expressly permitted by this RFQ (or subsequent to issuance of the RFP, except for communications expressly permitted by the RFP). Any Submitter engaging in such prohibited communications may be disqualified at the sole discretion of MoDOT's Project Director. However, communication is allowed with local entities and the general public.

Questions and requests for clarifications regarding this RFQ must be submitted via e-mail to MoDOT's Project Director by 4:00 pm, Central Standard Time, on the date shown in Section 2.3.

2.3 Procurement Schedule

Deadlines for submitting RFQ questions and SOQs are shown below. This schedule is subject to revision by addenda to this RFQ and by the RFP.



Item	Date
Issue RFQ, post Preliminary Draft RFP, Advertise for Informational Meeting, Advertise for Letters of Interest	January 16, 2007
Informational Meeting	February 2, 2007
Deadline for submitting RFQ questions	February 5, 2007
MoDOT posts final responses to questions	February 8, 2007
SOQs due	February 13, 2007
MoDOT notifies short listed Submitters	February 21, 2007
Issue Draft RFP	February 22, 2007
Industry Review Meetings	March 5 – April 6, 2007
Issue Final RFP	April 2007
Initial Technical Proposal Discussions	April - June , 2007
Final Proposal and Price Allocation Due	July - August, 2007
Selection of Apparent Best Value	September, 2007
Negotiations	September - October, 2007
Award of Contract/NTP	October – November, 2007

2.4 **Addenda**

MoDOT reserves the right to revise this RFQ at any time before the SOQ due date. A link to any addenda will be posted on the Project web site, www.kcICON.org.

Questions, requests for clarifications, and responses will be posted on the project web site. Submitter's names will not be identified.

2.5 **Ineligible Firms**

MoDOT has retained the consulting firms of HNTB Corporation, Delich Roth & Goodwillie PA, Taliaferro & Browne Consulting Engineers Inc., The Transvision Group, and Delcan Inc. to provide guidance in preparing and evaluating this RFQ and the RFP and advice on related contractual and technical matters. These firms are not eligible to submit a Proposal or to act as a member of any Submitter's team. In addition, a firm is ineligible to submit a Proposal, in either a prime or subconsultant role, if it assists in the development of the scope of work, the RFQ, or the RFP.



2.6 Confidentiality

Documents submitted pursuant to this RFQ will be subject to the Missouri Public Records Act, (§§ 610.010, et.seq., RSMo). Information clearly marked as confidential and proprietary will be kept confidential by MoDOT, unless otherwise provided by law. MoDOT will notify the Submitter if a request is made for such information, and the denial is challenged, so that the Submitter may take any action it deems necessary to defend the challenge. The Submitter, not MoDOT, shall be the entity responsible for defending against the Missouri Public Records Act disclosures for any records claimed by the Submitted to be confidential and proprietary.

2.7 Organizational Conflicts of Interest

Pursuant to 23 CFR 636.116 and 7 CSR 10-24.080, consultants and subconsultants who assist MoDOT in the preparation of an RFP document are not allowed to participate on a Submitter's team. Submitter must provide to MoDOT information regarding all potential organizational conflicts of interest in its SOQ, including all relevant facts concerning any past, present or currently planned interests which may present an organizational conflict of interest, as required by 23 CFR 636.116 and 7 CSR 10-24.080. MoDOT's Project Director will determine whether an organizational conflict of interest exists, and the actions necessary to avoid, neutralize, or mitigate such conflict.

MoDOT may disqualify a Submitter if any of its Major Participants (as defined in Section 2.10) belong to more than one Submitter organization.

2.8 Equal Employment Opportunity

The Submitter will be required to follow Federal Equal Employment Opportunity (EEO) policies.

MoDOT will affirmatively assure that on any project constructed pursuant to this advertisement, equal employment opportunity will be offered to all persons without regard to race, color, creed, religion, national origin, sex, marital status, status with regard to public assistance, membership or activity in a local commission, disability, sexual orientation, or age.

2.9 Disadvantaged Business Enterprises

It is the policy of MoDOT that Disadvantaged Business Enterprises (DBEs), as defined in 49 CFR Part 26, and other small businesses shall have the opportunity to compete fairly in contracts financed in whole or in part with public funds. Consistent with this policy, MoDOT will not allow any person or business to be excluded from participation in, denied the benefits of, or otherwise be discriminated against in connection with the award and performance of any U.S. Department of Transportation assisted contract because of sex, race, religion, or national origin.



MoDOT has determined that the Project will have a DBE goal of 13%. MoDOT's updated directory of DBE contractors can be viewed at the following web site: http://www.modot.mo.gov/business/contractor_resources/External_Civil_Rights/DBE_program.htm.

2.10 Major Participant

The term Major Participant is defined as any of the following entities:

- All general partners or joint venture members of the Submitter; all individuals, persons, partnerships, limited liability partnerships, corporations, limited liability companies, business associations, or other legal entities, however organized, directly or indirectly holding a 15% or greater interest in the Submitter.
- The lead engineering/design firm(s).
- Each subcontractor that will perform work valued at 10% or more of the construction work.
- Each subconsultant that will perform 20% or more of the design work.

Major Participants identified in the SOQ may not be removed, replaced, or added without written approval of the MoDOT Project Director. Written request must document the proposed change and demonstrate that the change will be equal to or better than the Major Participant submitted in the SOQ.

2.11 Key Personnel

Key Personnel identified in the SOQ may not be removed, replaced, or added without written approval of the MoDOT Project Director (as identified in Section 2.2). A written request must document the proposed change and demonstrate that the change will be equal to or better than the Key Personnel submitted in the SOQ.



3 CONTENTS OF SOQ

3.1 *Organization of SOQ*

The SOQ shall be organized as follows:

- Cover Letter
- Title Page
- Table of Contents
- Part 1 – Project delivery within budget
- Part 2 – Landmark Bridge
- Part 3 – Safety, Mobility, Aesthetics, and Capacity
- Part 4 – Public Involvement
- Part 5 - Schedule
- Part 6 – DBE Plan
- Appendix A – Team Member Information
- Appendix B – Reference Projects
- Appendix C – Resumes
- Appendix D – Receipt of Addenda
- Appendix E – Prequalification Contractor Questionnaire

3.2 *Cover Letter*

A one-page cover letter will be included in the SOQ. The cover letter must contain the business name, business type (corporation, joint venture, partnership) and must identify one contact person. The address, phone, fax, and e-mail for the contact person must be included.

3.3 *Part 1 – Project Delivery Within Budget*

Each Submitter will identify the experience, responsibilities and results of the Key Personnel listed below that demonstrates their ability to meet or exceed this goal for projects similar to the kcICON Project. Provide the organizational structure of the team and indicate how the qualifications of each Key Personnel listed below increase the Submitter's ability to meet or exceed this goal.

- Project Manager – The Project Manager is responsible for all aspects of the Project, including, but not limited to, overall design, construction, quality management, contract administration, and public information. The Project Manager shall have at least five years of recent experience managing the design and construction of major urban highways. The Project Manager shall be assigned to the Project full time and will be required to be on site for the duration of the Project.



- Construction Manager – The Construction Manager shall be responsible for managing the construction of the Project. The Construction Manager shall be on site and shall be assigned to the Project full time. The Construction Manager shall have at least five years of recent management experience in highway construction.
- Design Manager – The Design Manager is responsible for ensuring the project design is completed and all design requirements are met. The Design Manager must be assigned to the Project full time, on site, when design activities are being performed. The Design Manager shall have at least five years of recent experience managing the design of major urban highways and must be a registered professional engineer in the State of Missouri.
- Quality Manager – The Quality Manager’s responsibilities include, but are not limited to, creation and execution of the Submitter’s quality program, appointing quality personnel, assurance activities independent of production, enforcement of quality procedures, and documentation of quality records including public information, environmental compliance and DBE/labor compliance. The Quality Manager shall have at least five years of recent experience developing, implementing, and overseeing quality programs.

Describe the Submitter’s experiences delivering comparable projects of similar size within budget, how innovative ideas may have contributed to on budget performance, and how risks were minimized to allow the projects to be delivered on budget.

3.4 Part 2 – Landmark Bridge

Each Submitter will identify the experience, responsibilities and results of the Key Personnel listed below that demonstrates their ability to meet or exceed this goal for projects similar to the kcICON Project. Indicate how the qualifications of each Key Personnel increase the Submitter’s ability to meet or exceed this goal.

- Missouri River Bridge Aesthetics Lead – The Missouri River Bridge Aesthetics Lead is responsible for working with the Missouri River Bridge Design Lead to ensure the community desires are incorporated into the Project.
- Missouri River Bridge Design Lead - The Missouri River Bridge Design Lead is responsible for ensuring the bridge design is completed and all design requirements are met. The Design Lead shall be assigned to the Project full time, on site, when design activities are being performed. The Design Lead shall have at least five years of recent experience managing the design of major urban river crossings and shall be a registered professional engineer in the State of Missouri.
- Missouri River Bridge Construction Lead - Missouri River Bridge Construction Lead is responsible for ensuring the bridge construction is completed and all



construction requirements are met. The Construction Lead shall be assigned to the Project full time, on site, when construction activities are being performed. The Construction Lead shall have at least five years of recent experience managing the construction of major urban river crossings and shall be a registered professional engineer in the State of Missouri.

Describe the Submitter's experiences designing and constructing a landmark bridge, working with the community on similar projects and any awards earned.

3.5 Part 3 – Safety, Mobility, Aesthetics, Capacity

Each Submitter will identify the experience, responsibilities and results of the Key Personnel listed below that demonstrates their ability to meet or exceed this goal for projects similar to the kcICON Project. Indicate how the qualifications of each Key Personnel increase the Submitter's ability to meet or exceed this goal.

- Maintenance of Traffic Manager – The Maintenance of Traffic Manager is responsible for the management of work zones within the Project limits as well as any off site areas that may be affected by the Project. The Maintenance of Traffic Manager shall not be assigned any other duties or responsibilities and must be full time, on site. At least seven years of recent experience of managing urban work zones during construction is required.

Describe the Submitter's experiences in maintaining traffic during construction on urban industrial corridors and urban residential corridors and providing a safe completed project.

3.6 Part 4 – Public Involvement

Each Submitter will identify the experience, responsibilities and results of the Key Personnel listed below that demonstrates their ability to meet or exceed this goal for projects similar to the kcICON Project. Indicate how the qualifications of each Key Personnel increase the Submitter's ability to meet or exceed this goal.

- Public Information Manager – The Public Information Manager is responsible for developing and implementing a Public Information Plan. The Public Information Manager should have at least seven years of recent experience coordinating public information on public projects. At least three years of involvement during the construction phase on recent major public projects is required. At a minimum, the Public Information Manager shall have an undergraduate degree in Communications, Journalism, or appropriate field of study.

Describe the Submitters experiences in working with stakeholders and communities on similar projects and the understanding the community's key issues resulting in project success.

3.7 Part 5 – Schedule

Each Submitter will describe the team's past performance in delivering projects on



schedule for similar projects including any incentives awarded or disincentives incurred by missing key milestones.

3.8 Part 6 – DBE Plan

Each Submitter will describe the team's detailed plan to meet or exceed the DBE participation goals described in Section 2.9 for the Project.

3.9 Appendix A – Major Participant Information

Appendix A must include:

- Form 1 – Major Participant Information. One form is to be completed for each Major Participant.
- Letter(s) of Bonding Capacity – Provide a letter(s) from a surety or insurance company or companies stating that the Submitter is capable of obtaining a performance bond and payment bond covering the Project in the amount of the Fixed Price. In the case of a joint venture, multiple letters may be provided for members who will be jointly and severally liable for the work. Letters indicating “unlimited” bonding capability are not acceptable. The surety or insurance company or companies providing such letter(s) must be licensed as a surety or sureties and qualified to do business in the State of Missouri and must be listed in the current edition of US Department of Treasury, Fiscal Service – Circular 570, *Companies Holding Certificates of Authority as Acceptable Sureties of Federal Bonds and as Acceptable Reinsuring Companies*.

3.10 Appendix B – Reference Projects

Appendix B must include:

Form 2 – Reference Project Summary. The Submitter shall provide up to a maximum of 12 reference projects that are similar in nature to the kcICON Project. Each summary is limited to a maximum of two pages. MoDOT reserves the right to contact references identified in Form 2 – Reference Project Summary.

3.11 Appendix C – Resumes

Appendix C must include:

- Form 3 – Resume Summary
- Resumes – Resumes for the nine identified Key Personnel are to be included. Each resume is limited to two pages. Each resume shall include 2 references by providing a contact name and phone number. The references shall be owner contacts representing the referenced projects included on Form 2, if applicable. MoDOT reserves the right to contact references identified in the resumes.

3.12 Appendix D – Receipt of Addenda

Appendix D must include:



- Form 4 – Receipt of Addenda

3.13 **Appendix E – Prequalification Contractor Questionnaire**

Appendix E must include:

- Form 5 – Prequalification Contractor Questionnaire

4 SUBMITTAL REQUIREMENTS

4.1 **Page Limits**

The maximum number of pages is shown in the following table:

Item	Maximum Number of Pages
Cover Page	1
Title Page	1
Table of Contents	1
Part 1 – Project Delivery Within Budget	14
Part 2 – Landmark Bridge	
Part 3 – Safety, Mobility, Aesthetics, and Capacity	
Part 4 – Public Involvement	
Part 5 – Schedule	
Part 6 – DBE Plan	
Appendix A – Major Participant Information	as needed
Appendix B – Reference Projects	24
Appendix C – Resumes of Key Personnel	30
Appendix D – Receipt of Addenda	1

Dividers between sections of the SOQ are not counted.

4.2 **Format**

The SOQ must be formatted for 8.5" x 11" paper with numbered pages. Charts and other graphical information may be formatted for 11" x 17" paper. Use of 11" x 17" format shall be limited to a maximum of two pages. Minimum font size is 11 points. However, 10-point text may be used within graphs or tables.



4.3 ***Due Date and Quantities***

SOQs must be submitted by 4:00 pm, Central Standard Time, on the date shown in Section 2.3. Six hard copy of the SOQ is to be delivered to the Project Director as shown in Section 2.2. Submitters shall also e-mail one Adobe Acrobat format of the SOQ, in a single .pdf file, to kcICON.Project.Director@modot.mo.gov by the same due date and time.

5 **EVALUATION PROCESS**

5.1 ***SOQ Evaluation***

MoDOT will evaluate all responsive SOQs to determine the most highly qualified Submitters. MoDOT will use the criteria set forth in this RFQ using the following weightings:

Item	Points
Part 1 – Project Delivery Within Budget	30
Part 2 – Landmark Bridge	30
Part 3 – Safety, Mobility, Aesthetics, and Capacity	20
Part 4 – Public Involvement	15
Part 5 – Schedule	5
Part 6 – DBE Plan	Pass/Fail

5.2 ***Short Listed Submitters***

MoDOT will use the SOQ Evaluation Process to determine a ranked list of Submitters. MoDOT will short-list at least two (if any) but not more than five most highly qualified Submitters.

MoDOT will publish a list of short listed Submitters on the project web site and will notify, via e-mail, all Submitters of the posting of this information.

5.3 ***Debriefing Meetings***

After the short list is posted, Submitters may request an informal debriefing meeting with MoDOT to discuss the RFQ and the short-listing process.



6 PROTEST PROCEDURES

6.1 *RFQ Protests*

A Submitter may protest the results of the above-described evaluation and qualification process by filing a written notice of protest by hand delivery or courier to the Director of MoDOT with a copy to the MoDOT Project Director. The protesting Submitter shall concurrently file a copy of its notice of protest with the other Submitters. The notice of protest shall specifically state the grounds of the protest.

Notice of protest of any decision to accept or disqualify an SOQ on responsiveness grounds must be filed within five calendar days after the earliest of notification of non-responsiveness or the public announcement of short listing. Notice of protest of the decision on short listing must be filed within five calendar days after the public announcement of short listing.

Within seven calendar days of the notice of protest, the protesting Submitter must file with the Director of MoDOT, with a copy to MoDOT Project Director, a detailed statement of the grounds, facts and legal authorities, including all documents and evidentiary statements, in support of the protest. The protesting Submitter shall concurrently deliver a copy of the detailed statement to all other Submitters. Evidentiary statements, if any, shall be submitted under penalty of perjury. The protesting Submitter shall have the burden to prove that the decision of MoDOT was arbitrary and capricious.

Failure to file a notice of protest or a detailed statement within the applicable period shall constitute an unconditional waiver of the right to protest the evaluation or qualification process and decisions thereunder, other than any protest based on facts not reasonably ascertainable as of such date.

Other Submitters may file by hand delivery or courier to the Director of MoDOT, with a copy to the MoDOT Project Director, a statement in support of or in opposition to the protest. Such statement must be filed within seven calendar days after the protesting Submitter files its detailed statement of protest. MoDOT will promptly forward copies of any such statements to the protesting Submitter.

Unless otherwise required by law, no evidentiary hearing or oral argument shall be provided, except, in the sole and absolute discretion of the Director of MoDOT, a hearing or argument may be permitted if necessary for protection of the public interest or an expressed, legally recognized interest of a Submitter or MoDOT. The Director of MoDOT or his designee will issue a written decision regarding the protest within 30 calendar days after MoDOT receives the detailed statement of protest or any allowed (discretionary) evidentiary hearing or oral argument. Such decision shall be final and conclusive. The Director of MoDOT or his designee will deliver the written decision to the protesting Submitter and copies to the other Submitters.

If a notice of protest regarding responsiveness is filed prior to release of the draft RFP, MoDOT may proceed with issuance of the draft RFP before the protest is withdrawn or decided, unless the Director of MoDOT or his designee determines, in



his or her sole discretion, that it is in the public interest to postpone issuance of the draft RFP. Such a determination shall be in writing and shall state the facts on which it is based.

If the Director of MoDOT or his designee concludes that the Submitter filing the protest has established a basis for protest, the Director or his designee will determine what remedial steps, if any, are necessary or appropriate to address the issues raised in the protest. Such steps may include, without limitation, withdrawing or revising the decisions, issuing a new request for qualifications or taking other appropriate actions.



7 FORMS

7.1 Form 1 – Major Participant Information

Submitter (Team) Name:

Company Name:		Year Established:	
Company Address:		Federal Tax ID:	
Company Phone:		Organization:	<input type="checkbox"/> Corporation
Company Fax:			<input type="checkbox"/> Joint Venture
Contact Name:			<input type="checkbox"/> Partnership
Contact Phone:			<input type="checkbox"/> Other
Contact E-mail:		State of Incorporation: (if applicable)	

Under penalty of perjury, I certify that:

- I am the company's Official Representative;
- The company is either prequalified to perform work as a consultant or contractor for MoDOT or is able to obtain prequalification status prior to submitting a Proposal;
- To the best of my knowledge and belief, following reasonable inquiry; the information submitted in this SOQ is true and correct.

Signature

Date

Typed Name

Title



7.2 Form 2 – Reference Project Summary

Submitter (Team) Name:

REFERENCE PROJECT NAME:

PROJECT LOCATION:

Contact Name:		Type of Project:	<input type="checkbox"/> Design-Build
Contact Address:			<input type="checkbox"/> Design
Contact Phone:			<input type="checkbox"/> Construction
Original Project Budget:		Project Start Date:	
Final Project Cost:		Project End Date:	
DBE % Goal:		Actual DBE %:	
Description of Project:			



MAJOR PARTICIPANTS INVOLVED IN REFERENCE PROJECT

MAJOR PARTICIPANT	ROLE	DESCRIPTION OF WORK PERFORMED	PERCENTAGE OF WORK

PERSONNEL INVOLVED IN REFERENCE PROJECT

List only individuals shown in Appendix C

NAME (Last, First)	ROLE	DESCRIPTION OF WORK PERFORMED



7.3 Form 3 – Resume Summary

Submitter (Team) Name:

Position	Name Last, First	Years of Experience	% Assigned to kcICON Project		On Site (yes/no)
			During Design Phase	During Construction Phase	
Project Manager					
Construction Manager					
Design Manager					
Quality Manager					
Missouri River Bridge Aesthetics Lead					
Missouri River Bridge Design Lead					
Missouri River Bridge Construction Lead					
Maintenance of Traffic Manager					
Public Information Manager					



7.4 Form 4 - Receipt of Addenda

Submitter (Team) Name:

Addendum Number:		Dated:	

The undersigned acknowledges receipt of the addenda to the RFQ as indicated above.

Signature

Date

Typed Name

Title



7.5 Form 5 – Prequalification Contractor Questionnaire

Contractor Vendor No. _____

To Be Assigned by MoDOT

Revision Date: 06/14/2002

Missouri Highway and Transportation Commission PREQUALIFICATION CONTRACTOR QUESTIONNAIRE

- _____ An Individual
- _____ A Partnership
- _____ A Corporation
- _____ A Joint Venture
- _____ A Limited Liability Corp.
- _____ A Limited Liability Partnership

Legal Name of the Corporation, Firm or Individual Contractor

Fictitious Name under which you wish to bid
(if Registered with the Missouri Secretary of State)

P.O Box _____ City & State _____ Zip Code _____

Street Address _____

(If different from P.O. Box:) City & State _____ Zip Code _____

Telephone No. _____ Date Submitted _____

Fax No. _____ E-Mail Address _____

Contact person: _____

Has this firm or organization (or in the case of a partnership or joint venture, has any contractor which is included within this firm or organization) performed any work as a contractor or subcontractor for the Missouri Highway and Transportation Commission, the Missouri Highway and Transportation Department, or the Missouri Department of Transportation, in the last five (5) years? Yes No

If yes, give complete details, descriptions and dates on additional sheets as needed.

This firm or organization has _____ years experience as a general contractor and/or _____ years as a subcontractor in the heavy and highway construction field.

Has your firm or organization ever failed to complete any work awarded to you? Yes No
If so, where and why? (Attach additional sheets as needed.) _____

Has your firm or organization ever failed to complete any work in a timely manner? Yes No
If so, where and why? (Attach additional sheets as needed.) _____

Type(s) of work on which your firm or organization desires to be pre-qualified:



_____ Earthwork _____ Bituminous Pavement _____ Portland Cement Concrete Pavement
 _____ Bridges, Culverts, and other Similar Structures _____ All other (Explain): _____

This organization has completed the following similar projects for the type(s) of work designated within the last five years. (Attach additional sheets as needed.)

Contract Amount	Type of Work	When Completed	Location City and State	Project Owner's Name and Address

Listed below are the organization's current projects in progress, including the value of projects not yet completed and their completion dates. (Attach additional sheets as needed.)

Contract Amount	Type of Work	Estimated Completion Date	Percent Complete	Location City and State	Project Owner's Name and Address

Listed below are the organization's construction experience of personnel performing the type(s)



of work designated. (Attach additional sheets as needed.)

Name	Present Position	Years of Construction Experience	Magnitude and Type of Work	In What Capacity

Listed below is the organization's equipment available for the type(s) of work designated. (Attach additional sheets as needed.)

Number of Units	Description	Specify: Leased, Owned or Available for Lease



Listed below are all the officers, owners and/or directors of this organization, **with more than 5% ownership**, their present position, and their construction experience. (Attach additional sheets as needed.)

Name	Present Position	% of Ownership	Years of Const. Exp.	Magnitude and Type of Work	In What Capacity

Do any of the people listed above:

(a) Perform a management or supervisory function for any other business?

Yes No (If yes, list below.)

Name	Title	Business Name & Function

(b) Work for or other firms that have a business relationship with your firm?

Yes No (If yes, list below.)

Name	Title	Business Name & Function



Listed below is a **Missouri** resident designated by this organization as its agent for the receipt of legal process.

Complete Name, Street and Mailing Address, and Telephone Number

Please read the following paragraphs and attach the listed documents to the completed questionnaire.

Attach current insurance coverage, including comprehensive general liability, worker's compensation and automobile/ vehicular coverage. If this coverage does not meet all requirements of a contractor under Sec. 107 of the Missouri Standard Specifications for Highway Construction, then both the contractor organization and its insurance company or broker shall submit notarized statements that the contractor is able to and shall obtain the required types and amounts of insurance, with the required endorsements, prior to commencing work if the contractor is awarded a Missouri state highway project contract.

If the organization's Bonding Company is not listed in the current "United States Department of the Treasury, Fiscal Service, Department Circular 570, Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies," **attach the most recent complete audit** of that Bonding Company. If the bonding company is so listed, **at a minimum attach the complete balance sheets from the last audit of that bonding company.**

Attach a signed and notarized writing from the organization's surety bonding company or broker, stating the type(s) of highway projects for which that company or broker will issue a performance and payment bond in an amount in excess of two million dollars (\$2,000,000) to cover the highway project work and debts of the organization.

If a corporation, **attach a copy of the organization's current annual registration report**, or initial report if a new corporation, on file with the Corporation Division of the Missouri Secretary of State's Office. Each corporation which is a party to a joint venture shall submit the same required report with its joint venture contractor questionnaire. See Sec 102 of the Missouri Standard Specifications for Highway Construction. **If applicable, provide a certified copy of the fictitious name registration** that you have on file with the Missouri Secretary of State's Office.

* * * * *

If this firm or any of the listed individuals is now or has been debarred, suspended or restricted from bidding by any state or federal agency or official, check here _____ and attach details on additional sheets as needed.

* * * * *



This firm will comply with all written requests by the Missouri Department of Labor and Industrial Relations, Division of Labor Standards, to provide information for the purpose of establishing a prevailing wage.

Signature(s) _____
(If partnership — all partners must sign)

Affidavit

_____, being duly sworn stated that (circle one) she or he is _____
(Typed or printed Officer's name) (Title of Officer)

of _____, that (circle one) she or he has read and understands the
(Name of Firm)

requirements of Section 227.105, RSMo Supp. 1996, and Rule 7 CSR 10-15-010, "Prequalification to Bid of Certain Contractors," that (s)he is authorized to execute this document on behalf of this firm, and that all statements on this form and attachments thereto are true, correct and complete.

Signature of the Officer

Subscribed and sworn to before me by _____, who personally appeared before me and is known to me to be the person described in and who executed the foregoing affidavit, and acknowledged that (circle one) she or he executed the same as (circle one) her or his free act and deed.

IN WITNESS WHEREOF I have hereto set my hand and affixed my official seal at my office in _____, this _____ day of _____, 20____.

Notary Public Signature

Typed or Printed Name of Notary Public

My commission expires _____, 20_____.